

University of Alberta Policies and Procedures Online (UAPPOL)

Original Approval Date: September 1, 2024

Most Recent Approval: n/a
Most Recent Editorial Date: n/a

Parent Policy: Student Academic Integrity Policy

Student Academic Integrity Policy Appendix B: Sanction Descriptions and Impact

| Office of Accountability: | Office of the Provost and Vice-President (Academic) |
|---|---|
| Office of Administrative Responsibility: | Vice-Provost and Dean of Students |
| Approver: | General Faculties Council (Committee on the Learning Environment and Student Affairs) |

1. Reprimand

a. A reprimand is a disciplinary record that a student has been found responsible for a policy violation. It is noted in the student's **Academic Integrity History**, according to the established record retention schedule.

2. Academic Integrity Conditions

- a. Academic integrity conditions is a sanction that has two components:
 - i. the sanction requires that students satisfy specific conditions or restrictions, not to exceed the duration of the student's program, and
 - ii. provides for a specific secondary sanction that will be imposed on the student in the event the student does not satisfy those specific conditions or restrictions.
- b. The conditions and restrictions that may be applied include one or more of the following in order to rectify and or redress the violation:
 - i. not to commit any further violations during the term of academic integrity

conditions;

- ii. to complete a relevant reflection assignment;
- iii. to complete an academic integrity workshop or activity for intellectual development;
- iv. rewrite and resubmit the course element in question;
- v. to meet such other reasonable conditions considered desirable for protecting the integrity and value of the University of Alberta degree or other accreditations.
- c. The secondary sanctions that must be applied, as part of academic integrity conditions, are any of the sanctions set out in this Schedule.
- d. Where the secondary sanction is outside of the Faculty Decision-maker's authority, as set out in section 6d of the Policy, the FDM must refer the issue of sanction to the Student Conduct Officer with a recommendation.
- e. Academic integrity conditions will specify who has the responsibility to ensure compliance with the terms and to certify, when and as necessary, that the conditions have been met to a reasonable standard of performance, or have been breached.
- f. Any appeal of the academic integrity conditions, including the secondary sanction, must be made at the time the initial sanction is imposed and within the time limits set out in the *Student Academic Misconduct Appeal Procedure*. If the conditions are not met, no further appeal is available when the secondary sanction is imposed.
- g. The details of any academic integrity conditions will be kept in the student's Academic Integrity History according to the established record retention schedule.
- h. Any subsequent conduct that constitutes a breach of the prescribed academic integrity conditions during the defined period may lead to additional proceedings under this policy.

3. Grade Sanctions

- a. Marks for an assessment(s) or the grade for a course may be reduced as a sanction for Academic Misconduct. The final grade may or may not be accompanied by a transcript notation indicating Inappropriate Academic Behaviour. The student's grade in the course or grade point average (GPA) may, as a consequence, be substantially reduced.
- b. A grade of F for graded courses or NC for non-graded courses may be assigned as a sanction for Inappropriate Academic Behaviour. The grade may or may not be accompanied by a remark, indicating Inappropriate Academic Behaviour.
- c. Grade reductions and a grade of F resulting from discipline decisions will be calculated

- into the student's GPA. Mark reductions, reductions in final course grades and a grade of F may result in a student being required to withdraw from their program.
- d. The transcript notation indicating Inappropriate Academic Behaviour will remain on the student's transcript for a period of 2 years from the end date of the term for the relevant course. After that time, the notation is removed. The following notations apply:
 - i. '8' for undergraduate students, or for graduate students where the resulting grade is a passing grade
 - ii. '9' for graduate students where the resulting grade is a failing grade
- e. Any documentation relating to grade changes resulting from a sanction under this policy may be retained in the academic file.

4. Refusal to Consider Applications

- a. The university may refuse to consider applications for admission to the university for a specified time period or indefinitely.
- b. Where a sanction of refusal to consider applications has been imposed, it will be noted as a **negative service indicator** on the student's **central academic record** until the sanction expires.
- c. When a refusal to consider applications is for an indefinite period of time, the student may, after no less than five years have elapsed, petition to the **Provost** to be reconsidered for admission. The petition must include a description, with supporting evidence, of how circumstances have changed since the original decision was made. On receiving the request, the Provost will consult with the Student Conduct Officer and the Registrar's Office. The decision is final and not subject to appeal.

5. Rescission of Admission Offer

a. Rescission of any current offer of admission received by the applicant, whether conditional or final, and cancellation of any registration. This sanction may only be imposed for application-related offences.

6. Suspension from Academic Program

- a. Suspension is a complete withdrawal from the university, the student's program in the university, and all university activities for a specified period of time, to a maximum of three years.
- b. Suspension will be noted on the student's central academic record, transcript, and in the student's academic file held by the faculty in which the student is enrolled during the

- period of the suspension. At the discretion of the Student Conduct Officer, the suspension may be noted on the transcript for a further period of up to three years after the end of the suspension.
- c. The student will receive credit for any course passed before the effective date of the suspension.
- d. Withdrawals resulting from a decision of suspension will show as grades of "W" on the student's transcript and will remain part of the central academic record.
- e. A student will be withdrawn from all courses as of the date of a suspension; the fee refund dates outlined in the *University Calendar* will apply.
- f. A student who has been suspended for less than 12 months will be permitted to re-enroll in the program from which they were suspended provided they have not been required to withdraw in accordance with the Faculty's published Academic Standing regulations.
- g. If the suspension is for 12 months or more, the student must apply for readmission to the university. Refer to the *University Calendar* for more information on admission and readmission.
- h. Any course work completed at any institution during the period of suspension will not be accepted as credit towards a student's degree or other accreditation, or for admission to a program, or other certification at this university.

7. Expulsion

- a. Expulsion is a complete withdrawal from the university for an indefinite period of time.
- b. Expulsion will be noted in the student's academic file in the Faculty in which they are registered, as a negative service indicator in the student's central academic record and on the student's transcript in perpetuity.
- c. The student will receive credit for any course passed before the effective date of the expulsion. Withdrawals resulting from a decision of expulsion will show as grades of "W" on the student's transcript and will remain part of their central academic record.
- d. A student will be withdrawn from all courses as of the date of expulsion; the fee refund dates outlined in the *University Calendar* will apply.
- e. The student may, after no less than four years have elapsed, petition the Provost for permission to apply for admission. On receiving the request, the Provost will consult with the Student Conduct Officer and the Faculty/College from which the student was expelled and the Faculty/College to which the student seeks admission. In addition, the Provost may require the student to support their petition with written submissions and evidence. The Provost's decision is final and not subject to appeal.

f. Any course work completed at any institution during the period of expulsion will not be accepted as credit towards a student's degree or other accreditation, or for admission to a program, or any other certification at the University of Alberta.

8. Suspension of a Degree

- a. Upon suspension of a degree the original award of a degree will be removed from the student's central academic record and the transcript will show that the degree has been suspended until the student meets the requirements of the university to clear the suspension.
- b. If at the end of the time specified by a discipline decision, the student has met the requirements of the Student Conduct Officer or the Student Academic Misconduct Appeal Panel to clear the suspension, the original award will be restored to the student's central academic record with the original date. The record of the suspension will be removed from the transcript.
- c. If, at the end of the time specified in a discipline decision, the student has not met the requirements of the Student Conduct Officer or the Student Academic Misconduct Appeal Panel to clear the suspension, the record of the suspension of the degree will not be removed. The original award will remain perpetually deleted from the student's central academic record.

9. Rescission of a Degree

- a. Rescission of a degree means that the original award of a degree will be perpetually deleted from the student's central academic record. The student's transcript will indicate that the degree has been rescinded.
- b. The Student Conduct Officer may recommend to the Provost that the university publish notification of the rescission of the degree. In the case of a professional degree, this would include notification to the appropriate professional body. The Provost's decision to act on this recommendation shall be deferred until an appeal is heard and decided. The Provost's decision is final and not subject to appeal.

DEFINITIONS

| Any definitions listed in the following table apply to this document only with no implied or intended institution-wide use. | |
|---|--|
| Academic Integrity History | The records related to the academic integrity proceedings undertaken in relation to a student, including but not limited to, any non-disciplinary actions and/or assignments and their outcomes, |

| | any violations investigated, evidence collected and the findings, if any, of the decision-maker. Academic integrity history records are held electronically and are updated as cases progress through the process described in the Student Academic Misconduct Procedure and the Student Academic Misconduct Appeal Procedure. |
|-------------------------------|--|
| Academic misconduct | Prohibited conduct as set out in Appendix A of the Student Academic Integrity Policy. |
| Central academic record | A continuing record maintained by the Office of the Registrar, where all matters relating to courses, grades, and academic standing and probation are permanently recorded. Transitory notations, such as service indicators are also noted in the central academic record while they are in effect. |
| Negative service indicator | A transitory notation that can be placed on a student's central academic record during the period it is in effect. Service indicators assist staff in the provision or restriction of services but do not appear on a transcript. Negative service indicators can be used for financial, disciplinary or other extraordinary matters. Examples include, but are not limited to: refusal to consider applications, suspension, and expulsion. |
| Provost | Provost and Vice-President (Academic) or delegate. |
| Transcript | A student's official academic record issued by the Office of the Registrar and bearing the signature of the Registrar. Information for the transcript is drawn from the central academic record. Information included on the University of Alberta transcript is found in the University Calendar. |

RELATED FRAMEWORKS, PROCEDURES AND GUIDELINES

Student Academic Integrity Policy

Student Academic Misconduct Procedure

Student Academic Misconduct Appeal Procedure

Student Academic Integrity Policy Appendix A: Academic Misconduct

RELATED LINKS

Sources of on-campus assistance

- Office of the Dean of Students
- Office of the Student Ombuds
- Student Legal Services
- Students' Union (SU)
- Graduate Students' Association (GSA)
- <u>l'Association des Universitaires de la Faculté Saint-Jean (AUFSJ)</u>
- Augustana Students' Association
- First Peoples House
- Academic Success Centre

Other conduct policies

- Discrimination, Harassment and Duty to Accommodate Policy
- Information Technology Use and Management Policy
- Practicum Intervention Policy
- Protocol for Urgent Cases of Violent, Threatening or Disruptive Behaviour
- Residence Community Standards
- Sexual and Gender-Based Violence Policy
- Student Groups Procedure

Information

- University Calendar
- Electronic Communication Policy for Students and Applicants

For questions surrounding policy document implementation, please contact the Office of Administrative Responsibility.

For the most recent version of this document please visit https://www.ualberta.ca/policies-procedures/index.html